CONTENTS:

PROGRAM SCHEDULE ......................................................................... 11

FACULTY BIOS ...................................................................................... 25

   Rebecca Walker
   Kaplan & Walker LLP

2. “C” Is for Crucible: Behavioral Ethics, Culture, and the Board’s Role in C-Suite Compliance ...................................... 141
   Scott Killingsworth
   Bryan Cave LLP

3. Extending the Reach of Your Program: Compliance and Ethics Liaisons (December 2014) .......................................... 173
   Rebecca Walker
   Kaplan & Walker LLP

4. Reporting to the Board on the Compliance and Ethics Program (June 2014) ................................................................. 181
   Rebecca Walker
   Jeffrey M. Kaplan
   Kaplan & Walker LLP

5. Assessing Your Compliance Risks .................................................. 191
   Theodore L. Banks
   President
   Compliance & Competition Consultants, LLC
   Scharf Banks Marmor LLC

6. FCPA Blog Post: Mapping the World of Compliance “Checking”.......................................................................................... 199
   Jeffrey M. Kaplan
   Kaplan & Walker LLP
7. Risk Assessment: Law, Economics, Morality
   Science…and Liquor.............................................................209
   Jeffrey M. Kaplan
   Kaplan & Walker LLP

8. Excerpt from Compliance & Ethics Risk Assessment:
   Concepts, Methods and New Directions ...............................215
   Jeffrey M. Kaplan
   Kaplan & Walker LLP

9. Policies, Standards and Procedures in an Effective
   Compliance and Ethics Program ..........................................243
   Stacey C. Bolton
   Senior Vice President, Corporate Compliance Officer
   The Northern Trust Company

    Debra M. Torres
    Senior Vice President
    Chief Compliance & Ethics Officer
    PepsiCo, Inc.

11. Actualités : Professional Responsibility Focus .....................275
    Gretchen A. Winter
    Executive Director
    Center for Professional Responsibility in Business
    and Society
    College of Business, University of Illinois
    at Urbana-Champaign

12. Simulation Outline.............................................................279
    Robert R. Sprague
    Partner
    Deloitte Financial Advisory Services LLP
    William J. Pollard
    Partner and Midwest FCPA Leader
    Deloitte Financial Advisory Services LLP
13. Does the Hand Fit in the Glove?—Assessing Your Company’s Anti-Corruption Compliance program ..........285
   Written by:
   Jonathan Drimmer
   Barrick Gold Corp
   Lauren Camilli
   CSC
   Mauricio Almar
   Halliburton
   Mara V.J. Senn
   Arnold & Porter LLP
   Submitted by:
   Kenneth A. Wittenberg
   Ethics & Compliance Officer
   Abbott Laboratories

14. IPPF Practice Guide—Auditing Anti-Bribery and Anti-Corruption Programs (June 2014).................................299
   Submitted by:
   Kenneth A. Wittenberg
   Ethics & Compliance Officer
   Abbott Laboratories
   Colette Simo
   Senior Director, Internal Audit
   Office Depot, Inc.
   Kimberly W. White
   Senior Group Compliance Counsel—Corporate,
   US/CAN Region
   Johnson Controls, Inc.

15. Building an Integrated and Comprehensive Compliance Program for Sustainable Value........................................329
   Written by:
   Richard H. Girgenti
   Ori Ben-Chorin
   KPMG LLP
   Submitted by:
   Kenneth A. Wittenberg
   Ethics & Compliance Officer
   Abbott Laboratories
   Submitted by:
   Kenneth A. Wittenberg
   Ethics & Compliance Officer
   Abbott Laboratories

17. Antitrust Compliance Audit Plan (February 2015) .......................... 363
   Joseph E. Murphy
   Senior Advisor
   Compliance Strategists, LLC

   Joseph E. Murphy
   Senior Advisor
   Compliance Strategists, LLC

19. Measuring Your Program: The Practice Presentation (September/October 2013) .......................................................... 381
   Joseph E. Murphy
   Senior Advisor
   Compliance Strategists, LLC

20. Measuring Your Program: One Risk at a Time (March/April 2013) .......................................................... 385
   Joseph E. Murphy
   Senior Advisor
   Compliance Strategists, LLC

21. Whose Facebook Is It Anyway?: Social Media, Privacy and the Law .......................................................... 389
   Sarah R. Marmor
   Scharf Banks Marmor LLC

22. Proskauer 2013/14 Survey—Social Media in the Workplace Around the World 3.0 .......................................................... 401
   Submitted by:
   Proskauer
23. An Introduction to Corporate Compliance and Ethics Programs
Paul E. McGreal
Dean and Professor of Law
University of Dayton School of Law

24. The Call is Coming From Inside the House! Protecting Your Trade Secrets from the Malicious Insider
Peggy Daley
Managing Director
Duff & Phelps

25. Internal Investigations
Scott R. Lassar
Sidley Austin LLP

26. Conducting Successful Internal Investigations
Debbie Hart-Klein
Chief Compliance Officer
Reed Elsevier Group
Jennifer Jung
Deputy Compliance Officer
Reed Elsevier Group

27. Global Antitrust Compliance
Roxane C. Busey
Baker & McKenize, LLP

28. Here We Go Again—The U.S. Government Brings Home Another FCPA Case Against a Life Sciences Company (November 2014)
Gary Giampetruzzi
Anthony Antonelli
Amanda Pober
Paul Hastings LLP

29. SEC Sends a $30 Million-Plus Warning to Companies: Beware of the Foreign Whistleblower (September 2014)
Gary Giampetruzzi
Joy Dowdle
Lucy Jennings
Paul Hastings LLP
30. Ethical Considerations in Compliance: Professional and Substantive; *Selective Background Information*—Legal Ethics and Compliance ................................................................. 559
   Theodore L. Banks
   President
   Compliance & Competition Consultants, LLC
   Scharf Banks Marmor LLC

31. Attorney-Client Privilege in Investigations:
   Evolving Standards ................................................................. 579
   Benjamin Gruenstein
   *Cravath, Swaine & Moore LLP*

INDEX ................................................................................................... 591

Program Attorney: Meghan K. Carney